

## Homework 15. Due November 8

### Problem 1. 30 points. Statistical definition of beam emittance.

We consider a statistical distribution of non-interacting particles in phase space  $(x, x')$ . Let  $\rho(x, x')$  be the distribution function with

$$\int \rho(x, x') dx dx' = 1$$

the first and second moments of beam distribution are

$$\begin{aligned} \langle x \rangle &= \int x \rho(x, x') dx dx' & \langle x' \rangle &= \int x' \rho(x, x') dx dx' \\ \langle x^2 \rangle &= \int x^2 \rho(x, x') dx dx' & \langle x'^2 \rangle &= \int x'^2 \rho(x, x') dx dx' \\ \sigma_x^2 &= \langle x^2 \rangle - \langle x \rangle^2 & \sigma_{x'}^2 &= \langle x'^2 \rangle - \langle x' \rangle^2 \\ \sigma_{xx'}^2 &= \langle xx' \rangle - \langle x \rangle \langle x' \rangle \stackrel{\text{def}}{=} r \sigma_x \sigma_{x'} \end{aligned}$$

here  $\sigma_x$  and  $\sigma_{x'}$  are rms beam widths, and  $r$  is the correlation coefficient. The rms emittance is therefore defined as

$$\varepsilon_{rms}^2 = \sigma_x^2 \sigma_{x'}^2 - \sigma_{xx'}^2 = \sigma_x^2 \sigma_{x'}^2 (1 - r^2)$$

- a) Assuming that particles are uniformly distributed in an ellipse

$$\frac{x^2}{a^2} + \frac{x'^2}{b^2} = 1$$

show that the total phase space area is  $A = \pi ab = 4\pi \varepsilon_{rms}$

- b) Show that the rms emittance defined above is invariant under a coordinate rotation

$$X = x \cos \theta + x' \sin \theta \quad X' = -x \sin \theta + x' \cos \theta$$

and show that the correlation coefficient  $r$  is 0 if we choose the rotation angle to be

$$\tan 2\theta = \frac{2\sigma_x \sigma_{x'} r}{\sigma_x^2 - \sigma_{x'}^2}$$

show that  $\sigma_X$  and  $\sigma_{X'}$  reach extrema at this rotation angle.

- c) In accelerators, particles are distributed in Courant-Snyder ellipse

$$I(x, x') = \gamma x'^2 + 2\alpha x x' + \beta x^2$$

where  $1 + \alpha^2 = \beta\gamma$ . Apply the coordinate rotation you did above to this invariant to show that

$$\varepsilon_{rms} = \frac{\sigma_x^2}{\beta} = \frac{\sigma_{x'}^2}{\gamma}$$

and

$$r = -\frac{\alpha}{\sqrt{\beta\gamma}}$$

or

$$\begin{pmatrix} \sigma_x^2 & \sigma_{xx'} \\ \sigma_{xx'} & \sigma_{x'}^2 \end{pmatrix} = \varepsilon_{rms} \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}$$

show that

$$\mathbf{x}^T \boldsymbol{\sigma} \mathbf{x} = \frac{1}{\varepsilon_{rms}} (\gamma x'^2 + 2\alpha x x' + \beta x^2)$$

where  $\mathbf{x} = \begin{pmatrix} x \\ x' \end{pmatrix}$  thus it's invariant.

- d) For a linear Hamiltonian, particle motion in accelerator obeys Hamiltonian dynamics

$$\frac{dx'}{ds} = -\frac{\partial H}{\partial x} = -Kx$$

where  $K(s)$  is focusing function. Show that the rms emittance is conserved (hint: write what is  $\frac{d\varepsilon_{rms}^2}{ds}$  in terms of  $\frac{dx'}{ds}$  and  $\frac{\partial H}{\partial x}$ )